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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
1673A1

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NOV 28 2003

In Re Application Of: **Stuart D. Hellring et al.**

Serial No.

Filing Date

Examiner

TC 1700
Group Art Unit
1763

Invention: **SILICA AND A SILICA-BASED SLURRY**
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TC 1700
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



Signature

Dated: January 2, 2003

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Helen R. McKay

Typed or Printed Name of Person Mailing Correspondence

CC:



Sheet 1 of 1

		Atty. Docket No. 1673A1	Serial No. 09/882,549
LIST OF CITATIONS BY APPLICANT (Use several sheets if necessary)		Applicant Stuart D. Hellring et al.	
		Filing Date June 14, 2001	Group 1763

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U.S. PATENT DOCUMENTS

Exam. Init.		Document No.	Date	Name	Class	Subclass	Filing Date if appropriate
	AA	5,705,137	01/06/98	U. Goerl et al.	423	335	
	AB	5,846,506	12/08/98	H. Esch et al.	423	338	
	AC	6,248,144B1	06/19/01	K. Tamai et al.	51	308	
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subclass	Translation Yes No
	AL	WO 97/45366	04.12.97	WIPO			X
	AM	WO 00/00567	06.01.00	WIPO			X
	AN	WO 01/12730A1	22.02.01	WIPO			X
	AO	EP 0 643 015 A1 (US 5,484,581)	15.03.95	EP			X
	AP	EP 1 020 501 A2	19.07.00	EP			X

OTHER CITATIONS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

Examiner	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
SUBSTITUTE DISCLOSURE STATEMENT FORM (PTO 1449)